

## Marker genes to predict sensitivity to FK228, a histone deacetylase inhibitor

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### Abstract

In this study, we detected genes sensitive to an histone deacetylase inhibitor, FK228 [(E)-(1S,4S,10S,21R)-7-[(Z)-ethylidene]-4,21-diisopropyl-2-oxa-12,13-dithia-5,8,20,23-tetraazabicyclo-[8,7,6]-tricos-16-ene-3,6,9,19,22-pentanone; FR901228, depsipeptide] in vitro and identified marker genes to predict sensitivity to FK228 in vivo using Affymetrix GeneChip. Three percent of genes (205/7070) were sensitive to FK228 in vitro, 105 and 100 genes, were up- and down-regulated, respectively, by FK228. Commonly up-regulated genes included p21<sup>WAF1/Cip1</sup>, interleukin-8 (IL-8), histone family, JunB, caspase 9, mitogen-activated protein kinase phosphatase 1 (MKP-1) and mitogen-activated protein kinase (MAPK) family, and commonly down-regulated genes included cyclin A and MAPK family. One percent of genes (76/7070) showed native differences in patterns of expression, when FK228-sensitive (PC-3 prostate and SC-6-JCK (SC-6) stomach) and FK228-resistant (ACHN and A-498 renal) tumors implanted in BALB/c *nu/nu* mice were compared. Twenty-seven and forty nine of those genes were expressed at high or low levels, respectively, in FK228-sensitive tumors. Caspase 9 and MKP-1 genes showed distinct differences in patterns of expression between FK228-sensitive and resistant tumors and have been known to have roles in apoptosis and chromatin remodeling. The expression of caspase 9 gene was higher in FK228-sensitive tumors and the expression of MKP-1 gene was higher in FK228-resistant tumors. Caspase 9 and MKP-1 genes in the other FK228-sensitive tumors had the same patterns of expression as they did in PC-3 and SC-6 tumors. Our results present profiles of gene expression related to FK228 and marker genes to predict sensitivity to FK228, such as caspase 9 and MKP-1 genes.

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**Keywords:** FK228; FR901228; Histone deacetylase inhibitor; Caspase 9; MKP-1; GeneChip

### 1. Introduction

FK228 [(E)-(1S,4S,10S,21R)-7-[(Z)-ethylidene]-4,21-diisopropyl-2-oxa-12,13-dithia-5,8,20,23-tetraazabicyclo-[8,7,6]-tricos-16-ene-3,6,9,19,22-pentanone; FR901228, depsipeptide] is a unique bicyclic peptide containing a non-cystine disulfide bridge. It was first isolated from *Chromobacterium violaceum* strain WB968 as an agent inducing

morphological reversion of H-ras transformed NIH 3T3 cells [1,2]. FK228 possesses potent antitumor activity against human tumor cell lines and significant inhibition effects on the growth of human solid tumors implanted in mice [3]. It has also been found to exhibit significant selectivity for tumor cells. Its IC<sub>50</sub> values against human tumor cells are of the order of several ng/mL and against human normal fibroblast cells >1000 ng/mL [3]. A recent study has shown that the growth-inhibition and apoptosis of oncogene-transformed cells induced by FK228 may involve blockage of the mitogen-activated signaling pathway, stimulation of a p53-independent expression of p21<sup>WAF1/Cip1</sup> and phosphorylation of Bcl-2 [4]. Recently, FK228 has been found to be a potent HDAC inhibitor, like TSA [5].

Several lines of evidence suggest that the histone acetylation plays a role in transcriptional regulation [6], probably by altering chromatin structure. Acetylation of core

**Abbreviations:** HDAC, histone deacetylase; TSA, trichostatin A; SAHA, suberoylanilide hydroxamic acid; SC-6, SC-6-JCK; LC-6, LC-6-JCK; nude, BALB/c *nu/nu*; MKP-1, mitogen-activated protein kinase phosphatase 1; IL-8, interleukin-8; MAPK, mitogen-activated protein kinase; MKK, mitogen-activated protein kinase kinase; CML, chronic myeloid leukemia; JNK, Jun-N-terminal kinase; MAPKAPK, mitogen-activated protein kinase-activated protein kinase; ERK, extracellular signal-related kinase

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nucleosomal histones is regulated by the opposing activities of histone acetyltransferases and HDACs [6]. HDACs catalyze the removal of an acetyl group from the  $\epsilon$ -amino group of lysine side chains of histone H2A, H2B, H3 and H4 [6]. Acetylation of histone proteins neutralizes the positive charge on lysine residues and disrupts nucleosome structure, leading to a loosening of histone-DNA contacts, access by transcription factor and change in gene expression [7].

HDAC inhibitors, such as FK228 [8] TSA [9], MS-275 [10], and SAHA [11] may induce differentiation, apoptosis and cell cycle arrest via the modulation of gene expression by histone acetylation. Van Lint et al. [12] demonstrated by differential display that the expression of 2% of genes is significantly changed in cultured cells after treatment with an HDAC inhibitor, TSA. Although HDAC inhibitors show antitumor effects by modulating gene expression, the precise profiles of gene expression related to HDAC inhibitors have yet to be elucidated. In this study, we detected genes sensitive to FK228 *in vitro* and identified marker genes to predict sensitivity to FK228 *in vivo* using Affymetrix GeneChip.

## 2. Materials and methods

### 2.1. Chemicals

FK228 was prepared at Fujisawa Pharmaceutical Co., Ltd. For *in vitro* studies, FK228 was dissolved in 100% ethanol and diluted with each experimental medium. For *in vivo* studies, FK228 was dissolved in and diluted with 10% polyoxyethylated hydrogenated castor oil in saline.

### 2.2. Tumor cells and animals

PC-3 (prostate cancer), ACHN and A-498 (renal cancer) were obtained from ATCC. U937 (histiocytic lymphoma) was obtained from the Institute of Development, Aging and Cancer, Tohoku University. SC-6 (stomach cancer) and LC-6 (lung large cell cancer) were obtained from the Central Institute for Experimental Animals. Lu-65 (lung large cell cancer) was obtained from the National Institute of Health Sciences. PC-3 and ACHN were maintained in Dulbecco's Modified Eagle's Medium (Nikken Biological Laboratories) supplemented with 10% fetal calf serum (Moregate), 50 units/mL of penicillin and 50  $\mu$ g/mL of streptomycin (ICN Bio-medicals). U937 was maintained in RPMI-1640 Medium (Nikken Biological Laboratories) supplemented with 10% fetal calf serum, 50 units/mL of penicillin and 50  $\mu$ g/mL of streptomycin. Male nude mice were purchased from Charles River Japan Inc. PC-3, ACHN, A-498, SC-6, LC-6 and Lu-65 were maintained *s.c.* by serial passage in nude mice.

### 2.3. *In vivo* antitumor activity

Fragments (3 mm  $\times$  3 mm  $\times$  3 mm) of human solid tumor were implanted *s.c.* into the right flank of nude

mice. When the estimated tumor weight in mice had reached 100–300 mg, animals were treated *i.v.* with 1.8 or 3.2 mg/kg of FK228 every 4 days for a total of three times. Six mice were used for each group. Tumor weight was calculated from the following formula: tumor weight (mg) =  $(L \times W^2)/2$ , where  $L$  and  $W$  represent the length and the width of the tumor mass, respectively. The final weight of A-498 tumor was measured 2 weeks after the first administration day, and the weights of other tumors were measured about 2 weeks after the first administration day as previously described [3,13]. The final tumor weights were used for the calculation of growth inhibition. Growth inhibition (%) =  $((1 - \text{mean tumor weight of FK228 treated group}) / \text{mean tumor weight of control group}) \times 100$

### 2.4. GeneChip analysis

In *in vitro* tests, cells were seeded at  $4 \times 10^6$  per 75-cm<sup>2</sup> flask and incubated in each medium overnight at 37 °C under 5% CO<sub>2</sub>. The following day, cells were incubated with 5 ng/mL of FK228, at 37 °C under 5% CO<sub>2</sub> for 1, 3, 12, and 24 h. RNA was extracted from the cells using RNeasy Mini Kit (QIAGEN). In *in vivo* tests, fragments (3 mm  $\times$  3 mm  $\times$  3 mm) of human solid tumor were implanted *s.c.* into the right flank of nude mice. When the estimated tumor weight in mice had reached 100–300 mg, RNA was extracted from the solid tumors using RNeasy Midi Kit (QIAGEN). GeneChip analysis was based on the Affimetrix GeneChip Manual (Affimetrix). Total RNA was converted to double-strand cDNA using an oligo-dT primer containing the T7 RNA polymerase promoter (Superscript Choice System; Gibco-BRL). The cDNA was extracted with phenol/chloroform, ethanol precipitated, and converted to biotinylated cRNA using the Bioarray High Yield RNA Transcription Kit (Enzo Diagnostic). Biotinylated cRNA was cleaned and fragmented, and quality was confirmed by hybridizing a small portion to the Affimetrix Test2 Array. Ten micro grams of the biotinylated cRNA was hybridized to a GeneChip (Affimetrix FL array), and then the chip was washed and stained with streptavidin–phycoerythrin. Chips were scanned with a probe array scanner, and data were analyzed with the Affimetrix Microarray Analysis Suite using human  $\beta$ -actin, GAPDH, hexokinase, 5S rRNA, and B1/B2 repeats of gamma-crystalline as housekeepers genes; murine, rat and yeast probe sets as negative hybridization controls; and externally spiked bacterial bioB, bioC, bioD, and cre as positive hybridization controls. To determine the RNA level quantitatively, average differences representing perfectly matched minus mismatched for each gene-specific probe set were calculated. GeneSpring Software (Silicon-Genetics) was used to examine differential gene expression. To detect truly expressed genes, row data for genes were filtered at more than 100.

### 2.5. Confirmation of gene expression patterns of MKP-1 and caspase 9 by RT-PCR

Fragments (3 mm × 3 mm × 3 mm) of human solid tumor were implanted s.c. into the right flank of nude mice. When the estimated tumor weight in mice had reached 100–300 mg, RNA was extracted from the solid tumors using RNeasy Midi Kit (QIAGEN) and reverse-transcribed using Taq-Man reverse transcription reagents (PE Biosystems). PCR was performed using recombinant Taq DNA polymerase (Takara Shuzo Co., Ltd.). Optimal reaction conditions for PCR were determined for each primer pair. PCR cycling parameters were denaturation at 94 °C for 30 s, annealing at 60 °C for 30 s, followed by elongation at 72 °C for 30 s. PCR products were analyzed by 2.5% agarose/ethidium bromide gel electrophoresis. Primers for MKP-1, caspase 9 and  $\beta$ -actin genes were designed using “primer express” software (PE Biosystems). The following primer sequences were used: 5'-GAC AAC CAC AAG GCA GAC ATC A-3' (MKP-1 upstream), 5'-CAA ACA CCC TTC CTC CAG CAT-3' (MKP-1 downstream); 5'-CAG GCA AGC AGC AAA GTT

GTC-3' (caspase 9 upstream), 5'-GAA CCT CTG GTT TGC GAA TCT C-3' (caspase 9 downstream); 5'-TCA ACA CCC CAG CCA TGT ACG-3' ( $\beta$ -actin upstream), 5'-CAG GAA GGA AGG CTG GAA GAG-3' ( $\beta$ -actin downstream). The final expression value was calculated as follows: expression level of caspase 9 or MKP-1 mRNA/expression level of  $\beta$ -actin.

## 3. Results

### 3.1. Time-dependent alternation of gene expression by FK228 in human tumor cells in vitro

Genes time-dependently up- or down-regulated by FK228 in cultured cells were identified first, because the p21<sup>WAF1/Cip1</sup> gene, which is sensitive to FK228, has been shown to be time-dependently increased by FK228 [8,13]. FK228 inhibits the growth of various human tumor cell lines, such as U937 lymphoma, PC-3 prostate and ACHN renal cells, in vitro with IC<sub>50</sub> values of several ng/mL [8,13]. In this test, FK228 was used at a concentration of 5 ng/mL, based on the

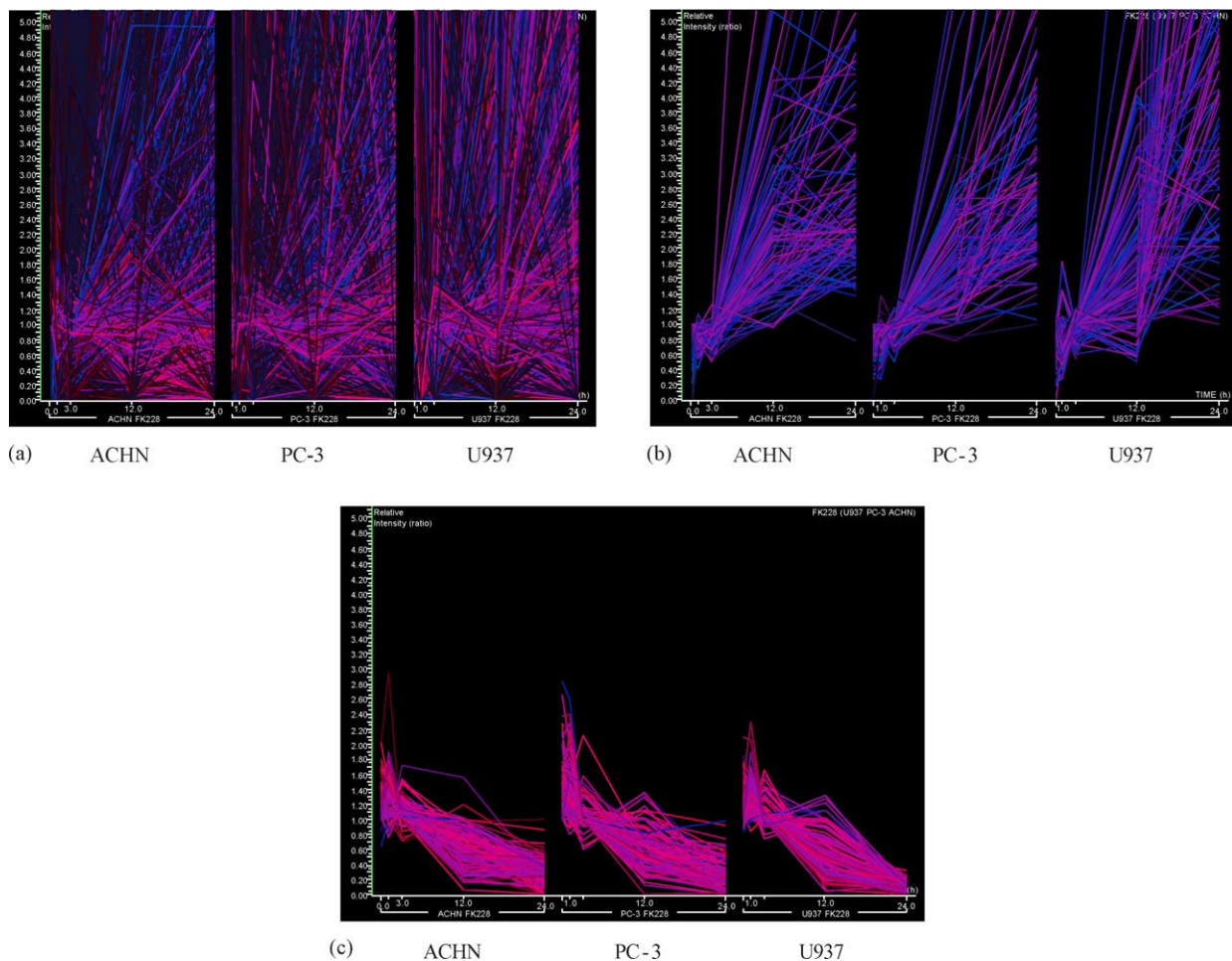


Fig. 1. Time-dependent alternation of gene expression in ACHN, PC-3, and U937 cells treated with FK228 in vitro. (a) All genes that changed expression in response to FK228; (b and c) genes time-dependently up- (b) or down- (c) regulated more than two-fold by FK228 at 24 h.

IC<sub>50</sub> values of the in vitro cytotoxicity tests [8,13]. Since 5 ng/mL of FK228 induced apoptosis of U937 cells at 20 h [8] and apoptosis of PC-3 at 36 h (data not shown), we used the treatment time of FK228 up to 24 h, which was near the time of the start of apoptosis. U937, PC-3 and ACHN cells were treated with 5 ng/mL of FK228 for 1, 3, 12, and 24 h, then RNA was isolated from cells to prepare target for probe array hybridization. Affimetrix FLGeneChip, which consists of the 7070 genes, was used for target hybridization and the data was analyzed using the following procedures with GeneSpring Software. First all genes that changed expression in response to FK228 were identified

(Fig. 1a). Next, data were filtered to obtain genes that were time-dependently up- or down-regulated more than two-fold at 24 h by FK228 (Fig. 1b and c). Finally, genes which had the same change in the expression in all cell lines were selected. As shown in Tables 1 and 2, 3% of genes (205/7070) were commonly and time-dependently up- or down-regulated by FK228, 105 and 100 genes were up- and down-regulated, respectively, by FK228. Commonly up-regulated genes included p21<sup>WAF1/Cip1</sup>, Interleukin-8 (IL-8), histone family, JunB, caspase 9, MKP-1, and MAPK family, and commonly down-regulated genes included cyclin A and MAPK family.

Table 1

List of genes up-regulated by FK228 in PC-3, ACHN and U937 in vitro

List no.	Accession no.	Gene
1	U09579	p21 <sup>WAF1/Cip1</sup> (mda-6)
2	U03397	Tumor necrosis factor receptor superfamily, member 9
3	M96843	Id2B
4	V01512	v-fos FBJ murine osteosarcoma viral oncogene homolog
5	X51345	JunB proto-oncogene
6	HG3105-HT3281	
7	D17547	Source: human mRNA for DOPAchrome tautomerase (tyrosinase-related protein-2), complete cds
8	M31516	Decay accelerating factor for complement (CD55, Cromer blood group system)
9	M60974	DNA-damage-inducible transcript 1
10	U35048	Transforming growth factor beta-stimulated protein TSC-22
11	X60487	H4/h
12	U65092	Melanocyte specific gene 1
13	L14848	MHC class I polypeptide-related sequence A
14	U00115	B-cell CLL/lymphoma 6 (zinc finger protein 51)
15	U60415	
16	U40369	Spermidine/spermine N1-acetyltransferase
17	HG2167-HT2237	
18	X68277	Mitogen-activated protein kinase phosphatase 1 (MKP-1)
19	L19872	Aryl hydrocarbon receptor
20	D79206	Syndecan 4 (amphiglycan, ryudocan)
21	U68111	Source: human protein phosphatase inhibitor 2 (PPP1R2) gene, exon 6 and complete cds
22	X59405	
23	D50645	Stroma cell-derived factor-2
24	Z29331	Ubiquitin-conjugating enzyme E2H (homologous to yeast UBC8)
25	D26067	Source: human mRNA for KIAA0033 gene, partial cds
26	U20734	JunB
27	L19783	Phosphatidylinositol glycan, class H
28	U12778	Acyl-coenzyme A dehydrogenase, short/branched chain
29	U51269	Armadillo repeat gene deletes in velocardiofacial syndrome
30	J04056	Carbonyl reductase 1
31	X75304	Golgi autoantigen, golgin subfamily b, macrogolgin (with transmembrane signal), 1
32	D87440	Similar to <i>Plasmodium falciparum</i> glutamic acid-rich protein precursor (A54514) (KIAA0252)
33	D50916	Homolog of yeast ( <i>Saccharomyces cerevisiae</i> ) ufd2
34	M31166	Pentaxin-related gene, rapidly induced by interleukin-1 beta (PTX3)
35	X79353	GDP dissociation inhibitor 1
36	S73591	1,25-Dihydroxyvitamin D-3 up-regulated; This sequence comes from Fig. 2; protein sequence is in conflict with the conceptual translation; mismatch (26[K-&gt;R]) (brain-expressed HHCPA78 homolog)
37	X01703	Source: human gene for alpha-tubulin (b alpha 1)
38	X85750	Expression associated with monocyte to macrophage differentiation
39	S58733	pp52
40	D13435	Phosphatidylinositol glycan, class F
41	U41654	Putative GTP-binding protein, homolog of small GTPase family members (FIP-1)
42	X15673	
43	U77735	Similar to murine pim-2 product encoded by GenBank accession number L41495; serine/threonine protein kinase
44	U56998	Cytokine-inducible kinase
45	L09209	Amyloid beta (A4) precursor-like protein 2
46	Y00787	Interleukin-8 (IL-8)



Table 1 (Continued)

List no.	Accession no.	Gene
47	X61123	B-cell translocation gene 1, anti-proliferative
48	M92843	Zinc finger protein homologous to Zfp-36 in mouse
49	U60521	Caspase 9, apoptosis-related cysteine protease
50	U60205	Enzyme involved in the cholesterol biosynthetic pathway; iron is an essential cofactor needed for enzyme activity; human homologue of <i>Saccharomyces cerevisiae</i> C-4 methyl sterol oxidase (ERG25)
51	D85181	
52	D64142	H1 histone family, member X
53	X76717	
54	X62078	GM2 ganglioside activator protein
55	HG4668–HT5083	
56	D87953	Source: human mRNA for RTP, complete cds, (GC4)
57	U12387	35 kDa Monomer; cytosolic protein (TPMT)
58	J03077	Prosaposin (variant Gaucher disease and variant metachromatic leukodystrophy)
59	M24470	Guanosine monophosphate reductase
60	M55998	COL1A1
61	M14949	R-ras
62	X72964	Caltractin (20 kDa calcium-binding protein)
63	U63825	Isolated in a two hybrid screen to identify cellular proteins that interact with hepatitis delta antigen; similar to hepatitis delta antigen, and has two regions predicted to form coiled-coil protein interaction domains (dipA)
64	U03398	Tumor necrosis factor (ligand) superfamily, member 9
65	Y00451	Aminolevulinate, delta-, synthase 2 (sideroblastic/hypochromic anemia)
66	X13916	Low density lipoprotein-related protein 1 (alpha-2-macroglobulin receptor)
67	D80010	Source: human mRNA for KIAA0188 gene, partial cds
68	M37400	Glutamic-oxaloacetic transaminase 1, soluble (aspartate aminotransferase 1)
69	X56681	JunD proto-oncogene
70	HG1828-HT1857	
71	HG3543-HT3739	
72	X78687	Neuraminidase
73	X74331	Primase, polypeptide 2A (58 kDa)
74	J03909	Gamma-interferon-inducible protein precursor (IFI30)
75	M28130	Source: human interleukin-8 (IL-8) gene, complete cds
76	U72515	Similar to ESTs with GenBank accession numbers H45806 and H45837; similar to <i>Saccharomyces cerevisiae</i> ORF YOR175c encoded by GenBank accession number Z75083; see corresponding genomic sequence in GenBank accession number U72506 (C3f)
77	X17025	Isopentenyl-diphosphate delta isomerase (IDI1)
78	U86070	Phosphomannomutase 1
79	M63379	Clusterin (complement lysis inhibitor, SP-40,40, sulfated glycoprotein 2, testosterone-repressed prostate message 2, apolipoprotein J)
80	L19779	H2A histone family, member O
81	M76378	Cysteine and glycine-rich protein 1
82	L77567	Source: <i>Homo sapiens</i> mitochondrial citrate transport protein (CTP) mRNA, 3' end
83	D87116	Mitogen-activated protein kinase kinase 3 (MKK3/MAPKK3)
84	M33764	Ornithine decarboxylase 1
85	U00968	Sterol regulatory element binding transcription factor 1
86	U22816	LIP1b; serine phosphorylated; colocalizes with LAR at focal adhesions; LAR PTPase-associated; coiled-coil protein
87	M23575	Pregnancy specific beta-1-glycoprotein 13
88	U58516	Breast epithelial antigen
89	X52151	Arylsulfatase A
90	U46751	Ubiquitin-binding protein P62; phosphotyrosine independent ligand for the Lck SH2 domain p62
91	X71490	Vacuolar proton-ATPase, subunit D; V-ATPase, subunit D (ATP6DV)
92	L38503	Glutathione S-transferase theta 2
93	X55448	Source: <i>Homo sapiens</i> G6PD gene for glucose-6-phosphate dehydrogenase
94	U91316	Source: human acyl-CoA thioester hydrolase mRNA, complete cds
95	X72755	Monokine induced by gamma interferon
96	L13740	Source: human TR3 orphan receptor mRNA, complete cds
97	M14764	Nerve growth factor receptor (TNFR superfamily, member 16)
98	L09235	ATPase, H <sup>+</sup> transporting, lysosomal (vacuolar proton pump), alpha polypeptide, 70 kDa, isoform 1 (ATP6A1)
99	M60750	H2B histone family, member L
100	M31724	Protein tyrosine phosphatase, non-receptor type 1
101	X69878	fms-Related tyrosine kinase 4
102	M37457	Source: human Na <sup>+</sup> , K <sup>+</sup> -ATPase catalytic subunit alpha-III isoform gene, exon 23, clone lambda-NK-alpha-R3-2; (ATP1A3)
103	S56151	Milk fat globule protein; This sequence comes from Fig. 3 (HMFG)
104	U79288	
105	Z68228	Junction plakoglobin

Table 2

List of genes down-regulated by FK228 in PC-3, ACHN and U937 in vitro

List no.	Accession no.	Gene
1	U07620	Jun-N-terminal kinase 3/mitogen-activated protein kinase 10 (JNK3/MAPK10)
2	D00591	Chromosome condensation 1
3	M21188	Insulin-degrading enzyme
4	M34458	lamin B
5	U10324	Interleukin enhancer binding factor 3, 90 kDa
6	U21551	Source: human ECA39 mRNA, complete cds
7	U15637	TNF receptor-associated factor 3
8	X78992	Source: <i>Homo sapiens</i> ERF-2 mRNA
9	D50922	KIAA0132 gene product
10	Z69915	
11	D00596	Thymidylate synthetase
12	M80647	Thromboxane A synthase 1 (platelet, cytochrome P450, subfamily V)
13	X14008	Protein sequence is in conflict with the conceptual translation
14	X89416	Source: <i>Homo sapiens</i> mRNA for protein phosphatase 5
15	L23959	Source: <i>Homo sapiens</i> E2F-related transcription factor (DP-1) mRNA, complete cds
16	Y10375	SIRP-alpha1
17	U77604	Microsomal glutathione S-transferase 2
18	L39874	dCMP deaminase (DCTD)
19	M94362	Source: human lamin B2 (LAMB2) mRNA, partial cds
20	D85131	Source: <i>Homo sapiens</i> mRNA for Myc-associated zinc-finger protein of human islet, complete cds
21	X71874	Proteasome (prosome, macropain) subunit, beta type, 10
22	J03801	Lysozyme (renal amyloidosis)
23	D83783	Thyroid hormone receptor-associated protein, 230 kDa subunit
24	X95808	X-linked mental retardation candidate gene (DXS6673E)
25	U09578	Mitogen-activated protein kinase-activated protein kinase 3 (MAPKAPK-3)
26	U08815	Similar to yeast PRP9, Swiss-Prot accession number <a href="#">P19736</a> (SAP 61)
27	D55716	Source: human mRNA for P1cdc47, complete cds
28	L76191	Interleukin-1 receptor-associated kinase 1
29	U18237	Similar to the product encoded by GenBank accession number <a href="#">Z19209</a>
30	X62048	Wee1+ ( <i>S. pombe</i> ) homolog
31	J04088	Topoisomerase (DNA) II alpha (170 kDa)
32	AC002450	Similar to ADP/ATP carrier proteins; match to EST AA360112 (NID: g2012453), similar to <a href="#">U00052</a> (PID:g485131) (WUGSC:H_GS244B22.1)
33	U09087	Thymopoietin
34	U18271	Source: human thymopoietin (TMPO) gene, partial exon 6, complete exon 7, partial exon 8, and partial cds for thymopoietin beta
35	D78335	Source: human mRNA for 5'-terminal region of UMK, complete cds
36	U70439	Similar to human PHAPI2a encoded by GenBank accession number <a href="#">Y07569</a> and human PHAPI2b encoded by GenBank accession number <a href="#">Y07570</a>
37	D84557	Source: <i>Homo sapiens</i> mRNA for HsMcm6, complete cds
38	Z14982	Alternative splicing (MHC-encoded proteasome subunit gene LAMP7-E1)
39	U09937	Source: human urokinase-type plasminogen receptor, exon 7
40	D13645	KIAA0020 gene product
41	X62153	
42	U32680	Ceroid-lipofuscinosis, neuronal 3, juvenile (Batten, Spielmeyer-Vogt disease)
43	D21063	Minichromosome maintenance deficient ( <i>Saccharomyces cerevisiae</i> ) 2 (mitotin)
44	M98045	Folylpolyglutamate synthase
45	X68688	ZNF33B
46	X65550	Antigen identified by monoclonal antibody Ki-67
47	D38076	RAN binding protein 1
48	X74795	Source: <i>Homo sapiens</i> P1-Cdc46 mRNA
49	D38073	Minichromosome maintenance deficient ( <i>Saccharomyces cerevisiae</i> ) 3
50	J03589	Ubiquitin-like protein (UBL4)
51	L05147	Dual specificity phosphatase 3 (vaccinia virus phosphatase VH1-related)
52	U07802	Source: human Tis11d gene, complete cds
53	L20010	
54	U58090	Cullin 4A
55	U14518	Centromere protein A (17 kDa)
56	U63743	HsMCAK
57	Z74792	Source: <i>Homo sapiens</i> mRNA for CCAAT transcription binding factor subunit gamma
58	U31930	dUTP pyrophosphatase
59	U50939	Amyloid beta precursor protein-binding protein 1, 59 kDa
60	D50678	Low density lipoprotein receptor-related protein 8
61	U80184	Flightless I ( <i>Drosophila</i> ) homolog (FLII)

Table 2 (Continued)

List no.	Accession no.	Gene
62	X74794	Minichromosome maintenance deficient ( <i>Saccharomyces cerevisiae</i> ) 4
63	D85425	CAATT box binding factor subunit C
64	X51688	Cyclin A2
65	U18271	Source: human thymopoietin (TMPO) gene, partial exon 6, complete exon 7, partial exon 8, and partial cds for thymopoietin beta
66	U58087	Cullin 1
67	U05875	Second chain of the receptor (IFNGR2)
68	D32002	Nuclear cap binding protein, 80 kDa
69	U52101	Epithelial membrane protein 3
70	U93867	Source: human RNA polymerase III subunit (RPC62) mRNA, complete cds
71	U06681	
72	M83233	Transcription factor 12 (HTF4, helix-loop-helix transcription factors 4)
73	U73477	LANP; PHAPI; I-1pp2a
74	D50919	KIAA0129 gene product
75	U83115	Absent in melanoma 1
76	X63692	DNA (cytosine-5-)-methyltransferase 1
77	M31627	X-box binding protein 1
78	D26018	Source: human mRNA for KIAA0039 gene, partial cds
79	L10838	Splicing factor, arginine/serine-rich 3
80	D87448	Similar to <i>S. pombe</i> -rad4+/cut5+ roduct (A40727) (KIAA0259)
81	U40152	Origin recognition complex, subunit 1 (yeast homolog)-like
82	D87673	Heat shock transcription factor 4
83	D31889	Source: human mRNA for KIAA0072 gene, partial cds
84	X62534	High-mobility group (nonhistone chromosomal) protein 2
85	Y13115	Source: <i>Homo sapiens</i> mRNA for serine/threonine protein kinase SAK
86	U10886	Transmembrane protein
87	U32849	N-myc (and STAT) interactor
88	L13800	
89	X74987	Ribonuclease L (2',5'-oligoadenylate synthetase-dependent) inhibitor
90	U13045	GA-binding protein transcription factor, beta subunit 2 (47 kDa)
91	Z23064	Heterogeneous nuclear ribonucleoprotein G
92	X67155	Mitotic kinesin-like protein 1
93	X85137	
94	X86098	Binds directly to adenovirus type 5 E1A protein (BS69)
95	L40384	Thyroid receptor interacting protein 13
96	D25538	Adenylate cyclase 7
97	X66113	Polymyositis/scleroderma autoantigen 2 (100 kDa)
98	X59739	Zinc finger protein, X-linked
99	X96586	Neutral sphingomyelinase (N-SMase) activation associated factor
100	L78833	Similar to ribosomal protein L21 (BRCA1)

### 3.2. Native gene expression profiles of human tumor xenografts

Next, marker genes to predict sensitivity to FK228 were examined in vivo. FK228 showed antitumor activity with differential sensitivity against various tumors implanted in mice, although it inhibited the growth of various tumor cell lines to the same degree in vitro [3,13]. Genes with native differences in patterns of expression between FK228-sensitive and resistant tumors implanted in nude mice were identified. PC-3 prostate and SC-6 stomach xenografts were used as FK228-sensitive tumors, while ACHN and A-498 renal xenografts were used as FK228-resistant tumors (Table 3). Data was analyzed according to the following procedures. First, hierarchical clustering analysis was performed and genes were classified into a phylogenetic tree (Fig. 2a). In the tree, similar kinds of genes were clustered together. Next, genes which had at least two-fold differences in expression between sensitive and resistant tumors were identified, based on statistical infor-

mation provided by Affymetrix (Fig. 2b–e). Finally, 1% of genes (76/7070) were identified by native differences in the patterns of expression between FK228-sensitive and resistant tumors. Twenty-seven and forty nine of those genes were expressed at high or low levels, respectively, in FK228-sensitive tumors (Tables 4 and 5).

Table 3  
Antitumor activity of FK228 against human tumor xenografts

Sensitivity to FK228	Tumor	Growth inhibition (%)		References
		1.8 mg/kg	3.2 mg/kg	
Sensitive	PC-3	87	98	[13]
	SC-6	77	84	[3]
Resistant	ACHN	17	20	[13]
	A-498	31	29	This study

Tumor cells were inoculated s.c. into nude mice. Drugs were given i.v. to mice three times at 4-day intervals. Six mice were used for each group. The final tumor weights were measured about 2 weeks after the first administration day and used for the calculation of growth inhibition.

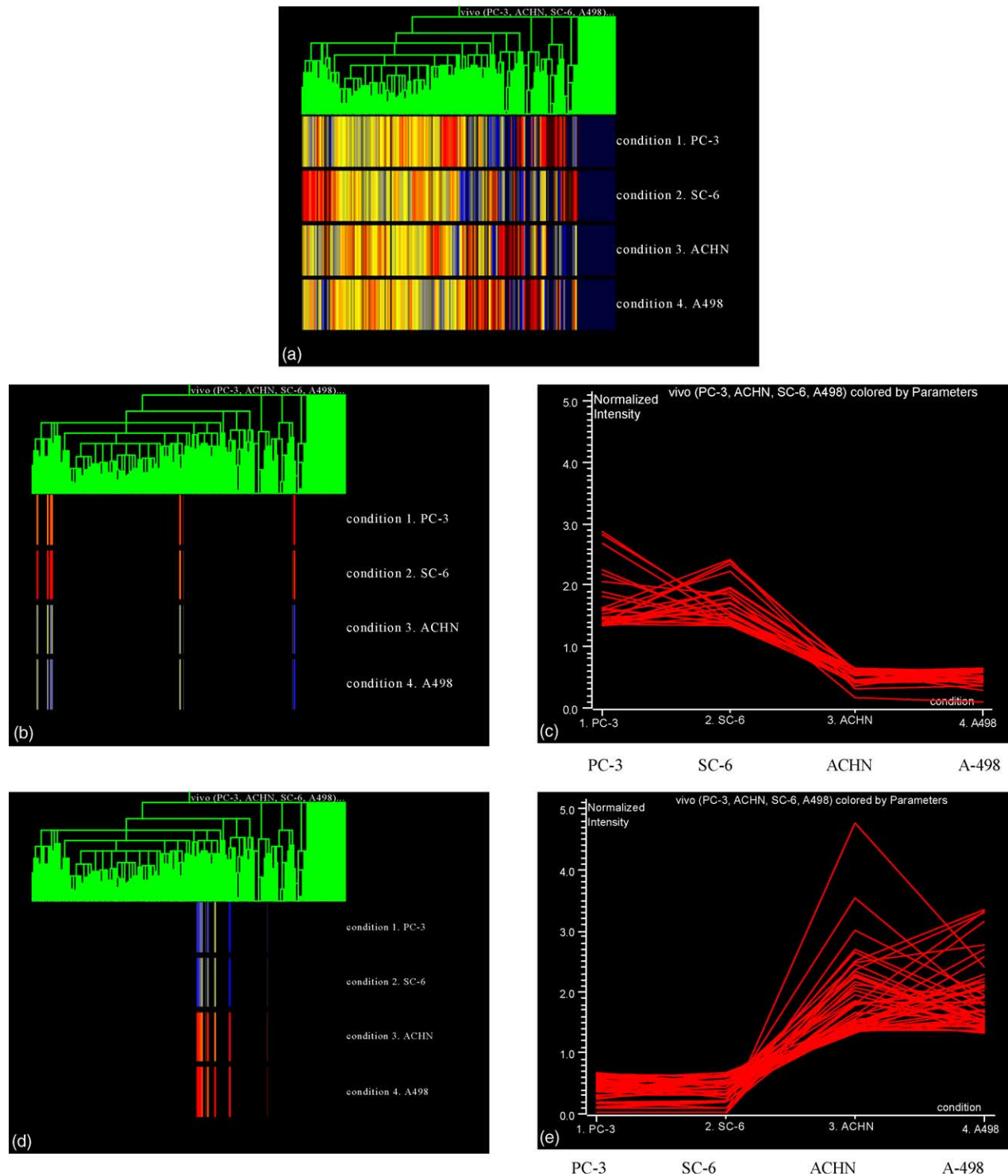


Fig. 2. Hierarchical cluster analysis of gene expression profiles in PC-3, SC-6, ACHN, and A-498 tumors. (a) Genes classified into a phylogenetic tree comparing PC-3, SC-6, ACHN, and A-498 tumors, blue color is indicative of decreased expression and red color indicates an increased expression; (b and c) genes with high expression in FK228-sensitive tumors or low expression in FK228-resistant tumors; (d and e) genes with low expression in FK228-sensitive tumors or high expression in FK228-resistant tumors.

### 3.3. Expression patterns of caspase 9 and MKP-1 in human tumor xenografts

Caspase 9 and MKP-1 genes showed distinct differences in patterns of expression between FK228-sensitive and

resistant tumors (Fig. 3). Expression of the caspase 9 gene in FK228-sensitive tumors was higher than that in FK228-resistant tumors (expression level of caspase 9 in FK228-sensitive versus resistant tumors,  $134.0 \pm 41.1$  versus  $27.2 \pm 1.7$ , respectively) and expression of the MKP-1



Table 4

List of the genes with high expression in FK228-sensitive tumor and low expression in FK228-resistant tumor in vivo

List no.	Accession no.	Gene
1	L11005	Aldehyde oxidase 1
2	X12517	Small nuclear ribonucleoprotein polypeptide C
3	U43944	Malic enzyme 1, soluble
4	U52100	Epithelial membrane protein 2
5	U65579	NADH dehydrogenase (ubiquinone) Fe-S protein 8 (23 kDa) (NADH-coenzyme Q reductase)
6	X06272	Signal recognition particle receptor ('ocking protein')
7	D42047	The ha3662 gene product is related to mouse glycerophosphate dehydrogenase
8	D90086	Pyruvate dehydrogenase (lipoamide) beta
9	U61734	
10	J03798	Small nuclear riboprotein Sm-D
11	X05299	Centromere protein B (80 kDa)
12	L34155	Laminin, alpha 3 (nicein (150 kDa), kalinin (165 kDa), BM600 (150 kDa), epiligrin)
13	U60521	Caspase 9, apoptosis-related cysteine protease
14	Y10807	HMT1 (hnRNP methyltransferase, <i>Saccharomyces cerevisiae</i> )-like 2
15	U44754	Small nuclear RNA activating complex, polypeptide 1, 43 kDa
16	U78107	<i>N</i> -ethylmaleimide-sensitive factor attachment protein, gamma
17	U60644	Similar to Vaccinia virus <i>HindIII</i> K4L ORF, and to Vaccinia virus p37 ( <i>HindIII</i> F13L ORF)
18	U32986	Damage-specific DNA binding protein 1 (127 kDa)
19	D59253	RNA-binding protein that has two RNP consensus motifs
20	U41767	A disintegrin and metalloproteinase domain 15 (metargidin)
21	U10550	Source: human Gem GTPase (gem) mRNA, complete cds
22	D38521	The ha0919 gene product is novel
23	J04823	Cytochrome <i>c</i> oxidase subunit VIII
24	M21154	S-adenosylmethionine decarboxylase 1
25	X78565	Hexabrachion (tenascin C, cytotactin)
26	D50405	histone deacetylase 1
27	X04366	Calpain, large polypeptide L1

gene in FK228-resistant tumors was higher than that in FK228-sensitive tumors ( $P < 0.01$  for expression level of MKP-1 in FK228-sensitive versus resistant tumors,  $351.3 \pm 39.2$  versus  $882.2 \pm 3.1$ , respectively). The difference in expression level of caspase 9 between FK228-sensitive and resistant tumors was not statistically significant but was more than three-fold, because the expression level of caspase 9 in PC-3 was higher than that in SC-6 (expression levels of caspase 9 in PC-3 and SC-6 were 175.1 and 92.9, respectively). To verify the patterns of expression of caspase 9 and MKP-1 genes indicated by GeneChip analysis, we examined the expression of those genes in FK228-sensitive or resistant tumors using RT-PCR. The expression of caspase 9 gene was higher in

FK228-sensitive tumors (PC-3 and SC-6) ( $P < 0.05$  for expression level of caspase 9 in FK228-sensitive versus resistant tumors,  $1.16 \pm 0.07$  versus  $0.74 \pm 0.03$ , respectively) and the expression of MKP-1 gene was higher in FK228-resistant tumors (ACHN and A-498) ( $P < 0.05$  for expression level of MKP-1 in FK228-sensitive versus resistant tumors,  $1.02 \pm 0.02$  versus  $1.87 \pm 0.13$ , respectively) (Fig. 4a–c). Although the expression levels of those genes in FK228-sensitive and resistant tumors were different between GeneChip and RT-PCR analysis, the expression patterns of those genes in FK228-sensitive and resistant tumors by RT-PCR analysis were similar to those by GeneChip analysis. To investigate whether caspase 9 and MKP-1 genes may be used as marker genes to predict sensitivity to FK228, patterns of expression of those genes in other xenografts were examined. Lu65 and LC-6 xenografts are FK228-sensitive tumors (Table 6). Patterns of expression of caspase 9 and MKP-1 genes in those xenografts were the same as in FK228-sensitive PC-3 and SC-6 xenografts (Fig. 4a). From the result of 6 tumors, the expression of caspase 9 gene was higher in FK228-sensitive tumors ( $P < 0.05$  for expression level of caspase 9 in FK228-sensitive versus resistant tumors,  $1.10 \pm 0.05$  versus  $0.74 \pm 0.03$ , respectively) and expression of MKP-1 gene was higher in FK228-resistant tumors ( $P < 0.05$  for expression level of MKP-1 in FK228-sensitive versus resistant tumors,  $0.62 \pm 0.25$  versus  $1.87 \pm 0.13$ , respectively) (Fig. 4d and e).

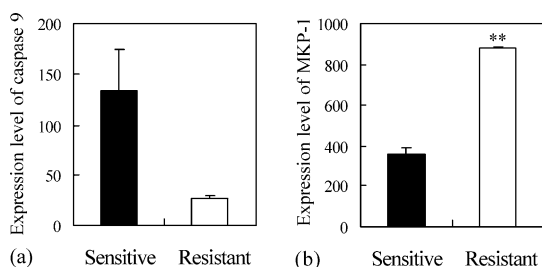


Fig. 3. Expression levels of caspase 9 (a) and MKP-1 (b) genes in FK228-sensitive (PC-3 and SC-6) and resistant (ACHN and A-498) tumors by GeneChip analysis. Results are shown as means  $\pm$  S.E.M. and analyzed for statistical significance by unpaired *t*-test (\*\* $P < 0.01$ ).

Table 5

List of the genes with low expression in FK228-sensitive tumor and high expression in FK228-resistant tumor in vivo

List no.	Accession no.	Gene
1	U84487	Small inducible cytokine subfamily D (Cys-X3-Cys), member 1 (fractalkine, neurotactin)
2	M33600	Major histocompatibility complex, class II, DR beta 5
3	L24564	Ras-related associated with diabetes
4	J03474	Amyloid A precursor
5	J05428	UDP glycosyltransferase 2 family, polypeptide B7
6	L03840	Fibroblast growth factor receptor 4
7	M35878	Insulin-like growth factor binding protein 3
8	HG4318-HT4588	
9	X87241	FAT tumor suppressor (drosophila) homolog
10	M59807	Natural killer cell transcript 4
11	HG2379-HT3996	
12	U37546	Apoptosis inhibitor 1
13	X72889	Source: <i>Homo sapiens</i> hbrm mRNA
14	U66838	Cyclin A1
15	Z23090	Heat shock 27 kDa protein 1
16	U16031	Signal transducer and activator of transcription 6, interleukin-4 induced
17	D84110	Alternative splicing (see also D84107–D84111)
18	M55998	COL1A1
19	D28124	Neuroblastoma candidate region, suppression of tumorigenicity 1
20	HG3548-HT3749	
21	X86809	Phosphoprotein enriched in astrocytes 15
22	M79462	Promyelocytic leukaemia
23	M59465	Tumor necrosis factor, alpha-induced protein 1 (endothelial)
24	HG2379-HT3997	
25	Z36715	ELK3, ETS-domain protein (SRF accessory protein 2) note: symbol and name provisional
26	U41654	Putative GTP-binding protein, homolog of small GTPase family members
27	U72882	Source: human interferon-induced leucine zipper protein (IFP35) mRNA, partial cds
28	U72649	Rat PC3 and murine TIS21 genes homolog
29	U47621	
30	L22214	Adenosine A1 receptor
31	U68494	Source: human hbc647 mRNA sequence
32	X69699	Paired box gene 8
33	X63717	Tumor necrosis factor receptor superfamily, member 6
34	U04285	
35	L38969	Source: <i>Homo sapiens</i> thrombospondin 3 (THBS3) mRNA, complete cds
36	Y10032	Source: <i>Homo sapiens</i> mRNA for putative serine/threonine protein kinase
37	L48513	Paraoxonase 2
38	U89942	Lysyl oxidase-like 2
39	Z12173	Glucosamine (N-acetyl)-6-sulfatase (Sanfilippo disease IIID)
40	U59423	Sma and Mad homolog
41	X68277	Mitogen-activated protein kinase phosphatase 1 (MKP-1)
42	U45878	HIAP-1
43	L08187	
44	X71874	Proteasome (prosome, macropain) subunit, beta type, 10
45	D50863	Testis-specific kinase 1
46	X91911	
47	U65011	Encodes tumor antigen recognized by cytolytic T lymphocytes

Table 6

Antitumor activity of FK228 against human tumor xenografts

Tumor	Growth inhibition (%)		References
	1.8 mg/kg	3.2 mg/kg	
Lu65	63	74	[3]
LC-6	64	74	[3]

Tumor cells were inoculated s.c. into nude mice. Drugs were given i.v. to mice three times at 4-day intervals. Six mice were used for each group. The final tumor weights were measured about 2 weeks after the first administration day and used for the calculation of growth inhibition.

#### 4. Discussion

Although HDAC inhibitors such as FK228 [8], TSA [9], MS-275 [10] and SAHA [11] show antitumor effects by modulating the expression of target genes, the precise profiles of gene expression related to HDAC inhibitors have yet to be elucidated. In this study, we detected genes sensitive to FK228 in vitro and identified marker genes to predict sensitivity to FK228 in vivo using Affymetrix GeneChip.

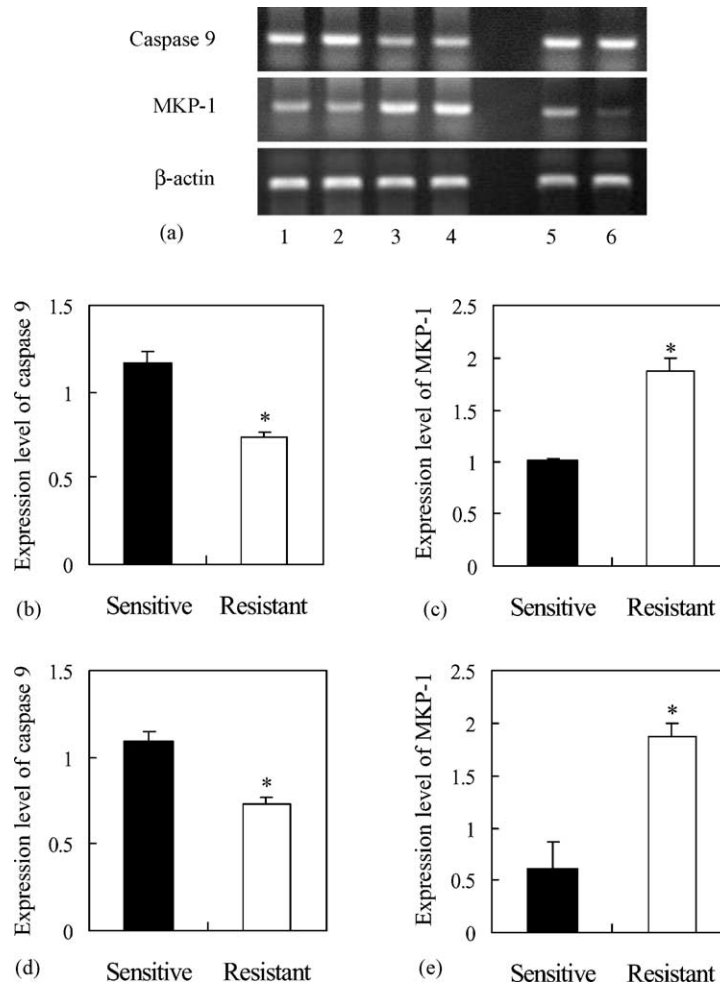


Fig. 4. Expression levels of caspase 9 and MKP-1 genes in FK228-sensitive and resistant tumors by RT-PCR analysis. (a) PC-3 (lane 1), SC-6 (lane 2), ACHN (lane 3), A-498 (lane 4), Lu65 (lane 5), and LC-6 (lane 6); (b and c) PC-3 and SC-6 were used as FK228-sensitive tumors and ACHN and A-498 were used as FK228-resistant tumors; (d and e) PC-3, SC-6, Lu65 and LC-6 were used as FK228-sensitive tumors and ACHN and A-498 were used as FK228-resistant tumors. Results are shown as means  $\pm$  S.E.M. and analyzed for statistical significance by unpaired *t*-test (\**P* < 0.05).

First, genes sensitive to FK228 were identified using three cell lines in vitro. Very strict criteria were set in order to remove noise and select genes commonly and time-dependently up- and down-regulated by FK228 by more than two-fold. In this study, 3% of genes (205/7070) were affected by FK228 (Tables 1 and 2). Van Lint et al. [12] demonstrated by differential display that the expression of 2% of genes significantly changed in cultured cells after treatment with an HDAC inhibitor, TSA, which broadly agrees with the figures obtained in this study. These results suggest that HDAC inhibitors constantly modulate 2–3% of genes. Some of the 205 genes affected by FK228, such as p21<sup>WAF1/Cip1</sup>, IL-8 and cyclin A, have been shown to be modulated by the other HDAC inhibitors [14–19]. FK228 time-dependently induced the expression of p21<sup>WAF1/Cip1</sup> and it has been reported that TSA, sodium butyrate and MS-275 induce the expression of p21<sup>WAF1/Cip1</sup> [14–16]. FK228 also increased the expression of IL-8 and TSA-induced hyperacetylation of the NF- $\kappa$ B-dependent receptor on IL-8 promoter has been reported, resulting in increased IL-8 mRNA transcription [17]. As similar to

FK228, trapoxin and MS-275 down-regulating expression of cyclin A in human cancer cells [18,19]. Those studies of the other HDAC inhibitors support the reliability of data obtained in this study. Interestingly, four genes modulated by FK228 were associated with histone and all of them were up-regulated by FK228 (Table 1). It has been reported that the expression of H1 were induced by sodium butyrate and TSA in peripheral blood lymphocytes and this induced expression was found to be correlated with the concomitant induction of apoptosis and increased levels of histone H4 acetylation [20]. Histone acetylation is known to be involved in chromatin remodeling events [20]. These results indicated that the expression of histone family genes is increased via the histone acetylation by HDAC inhibitors and it may play roles in apoptosis and chromatin remodeling.

Expression of JunB is also induced by FK228. JunB is a component of the Jun family genes of the activating protein-1 transcription factors that are important in the control of cell growth and differentiation and neoplastic transformation [21]. Recently, it was demonstrated that

transgenic mice specifically lacking JunB expression in the myeloid lineage developed a myeloproliferative disease, which resembled human CML [21]. Expression levels of JunB were significantly impaired in the peripheral blood of CML patients and down-regulated JunB expression in CML was due to the inactivation of JunB gene by methylation [21]. Demethylation by treatment of human leukemia cells with 5'-aza-2'-deoxycytidine resulted in partial reactivation of JunB expression [21]. HDAC inhibitors treatment in combination with demethylation agents may show therapeutic efficacy on CML by inducing the expression of JunB gene.

The genes of MAPK family were modulated by FK228. MKK3 gene was increased and JNK3 and MAPKAPK-3 genes were decreased by FK228. MKK3 is the immediate upstream activator of p38 [22]. The effect of HDAC inhibitors on MKK3 has not been known, but HDAC inhibitors, SAHA and sodium butyrate activated p38 MAPK and induced apoptosis in human leukemia cells [23]. HDAC inhibitors may activate p38 MAPK by up-regulation of MKK3.

JNK3 is expressed predominantly in the nervous system and *Jnk3*<sup>-/-</sup> mice are resistant to excitotoxic killing of neurons [24]. MAPKAPK-3 is a substrate of p38 MAPK, which is activated in response to stress and cytokines [25]. The down-regulation of those genes by FK228 seems to be contradictory to FK228-induced apoptosis in cultured cells [8]. Treatment with TSA attenuated the activations of p38 and ERK kinases by nocodazole and increased the chromosomal instability in HeLa cells [26]. Under such a condition, down-regulation of those genes by HDAC inhibitors may contribute to the chromosomal instability.

Next, marker genes to predict sensitivity to FK228 were identified in vivo. Using human tumors implanted in nude mice, in order to obtain the genes relevant to chemosensitivity has advantages. Accumulated evidence suggests that chemosensitivities in xenografts tend to agree with clinical results [27,28]. There are not much FK228-resistant human tumors transplantable to nude mice [3,13]. PC-3 and SC-6 were used as FK228-sensitive tumors, while ACHN and A-498 were used as FK228-resistant tumors. We identified 1% of genes (76/7070) were native differences in patterns of expression between FK228-sensitive and FK228-resistant tumors (Tables 4 and 5). In those genes, caspase 9 and MKP-1 genes were distinctly different in their patterns of expression, according to their sensitivity to FK228.

Expression of caspase 9 gene was natively high in FK228-sensitive tumors (Fig. 3) and induced by FK228 in cultured cells (Table 1). Caspase 9 is a member of the caspase family of cysteine proteases that are implicated in apoptosis [29]. Transduction of caspase 9 enhanced radiation-induced apoptosis [30] and activation of caspase 9 is required for UV- and cyclophosphamide-induced apoptosis [31,32]. *Caspase-9*<sup>-/-</sup> embryonic stem cells, embryonic fibroblasts and thymocytes are resistant to gamma-irradiation-induced apoptosis [33]. Although caspase 3 con-

tributes to the apoptosis induced by HDAC inhibitors, HDAC inhibitors can induce the apoptosis in MCF-7, a cell line that does not express caspase 3 activity [34]. In MCF-7 cells, caspase 9 is critical for apoptosis induced by SAHA and TSA and proteolytic activation of caspase 2, caspase 8 and caspase 7 depends on caspase 9 [34]. Taken together these results suggest, natively high or induced expression of caspase 9 gene may relate to sensitivity to FK228 and play a role in FK228-induced apoptosis.

Expression of MKP-1 gene was natively low in FK228-sensitive tumors (Fig. 3) and induced by FK228 in cultured cells (Table 1). MKP-1 is a subclass of the protein tyrosine phosphatase gene superfamily and inactivates MAPKs by dephosphorylation of phosphothreonine and phosphotyrosine residues [35]. MKP-1 was originally identified as an ERK-specific phosphatase [36]. It is now well established that MKP-1 is also capable of inactivating both JNK and p38 [35]. Treatment with TSA attenuated the activation of p38 and ERK kinases by nocodazole and induced the chromatin instability in HeLa cells [26]. TSA also increased MKP-1 expression in C3H 10T1/2 cells [37]. Inactivation of p38 and ERK kinases may be due to the induction of MKP-1 by HDAC inhibitors. It has been reported that expression of MKP-1 is induced by a variety of extracellular stimuli and phosphorylation-acetylation of histone H3 precedes the induction of MKP-1 mRNA [37]. These results indicate that chromatin remodeling after stress contributes to the transcription induction of MKP-1 [37]. Induction of MKP-1 gene by FK228 may indicate that the chromatin remodeling is progressing.

Profiles of gene expression of human tumors implanted in nude mice after treatment with FK228 using GeneChip were also examined (data not shown). Although FK228 modulated expression of genes, such as p21<sup>WAF1/Cip1</sup> gene, the change in expression was not more than two-fold compared to before treatment with FK228. In GeneChip analysis, a change of less than two-fold in the expression of a gene is too small to distinguish true positives from false positives. Previously, we reported that FK228 induced expression of p21<sup>WAF1/Cip1</sup> gene more than two-fold in PC-3 xenograft after treatment with FK228 using real-time quantitative-PCR [13]. Taken together these results suggest that, although FK228 modulates not only the expression of target genes in vitro but also in vivo, modulation levels of genes in vivo may be smaller than those in vitro. Real-time quantitative-PCR analysis may be more sensitive for detecting the small changes in expression of genes than GeneChip analysis.

Recent developments of GeneChip and cDNA microarray technology have made it possible to analyze expression of thousands of genes at once [38–41]. Consequently, new classifications of cancer types can be proposed on the basis of altered expression of multiple genes in tumor tissue [42,43]. Scherf et al. [44] reported that profiles of gene expression might reflect drug sensitivity of cancer cells. Our results present profiles of gene expression related



to FK228 and marker genes to predict sensitivity to FK228, such as caspase 9 and MKP-1 genes, using Affymetrix GeneChip.

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